

Properties of sets with digital restrictions

Manfred G. Madritsch

Department for Analysis and Computational Number Theory Graz University of Technology madritsch@tugraz.at

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joint work with Jörg M. Thuswaldner, University of Leoben.

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Outline

Digital restrictions

Number systems over $\mathbb{F}_q[X]$

Number systems over $\mathbb{F}_q[X, Y]/p(X, Y)\mathbb{F}_q[X, Y]$

Summary



Number systems over $\ensuremath{\mathbb{Z}}$

▶ Let q ≥ 2 be an integer. Then every positive integer n can be represented in the form

$$n=\sum_{\ell\geq 0}d_\ell q^\ell.$$

We call a function f strictly q-additive if it acts on the q-ary digits of a number. E.g. the sum of digits function

$$s_q(n) = \sum_{\ell \ge 0} d_\ell.$$



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The digitally restricted set

Let q_1, \ldots, q_r be coprime positive integers, j_1, \ldots, j_r and m_1, \ldots, m_r be positive integers. Then we define the set

 $\mathcal{S} := \left\{ n \in \mathbb{N} : f_1(n) \equiv j_1 \pmod{m_1}, \dots, f_r(n) \equiv j_r \pmod{m_r} \right\},$

where f_i is a q_i -additive function.



The distribution within the set ${\cal S}$

Let H the subgroup generated by the digital restrictions, *i.e.*

$$H := \{(s_{q_1}(n) \equiv j_1(m_1), \ldots, s_{q_r}(n) \equiv j_r(m_r)) : n \ge 1\}.$$

Then Kim could show the distribution into these classes. Theorem Kim (1999)

$$\frac{1}{N}(\mathcal{S}\cap[1,N]) = \frac{1}{\#H} + \mathcal{O}\left(N^{-\delta}\right)$$

where $\delta > 0$.



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Waring's problem and uniform distribution

 We look for an asymptotic formula for the number of solutions of

$$n = x_1^k + \cdots + x_s^k, \quad x_1, \ldots, x_s \in \mathcal{S}.$$

▶ We order the elements of S by the sequence (s_i)_{i≥0}. Is the sequence

 $(h(s_i))_{i\geq 0}$

uniformly distributed modulo 1 for h a polynomial with at least one irrational coefficient?



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An idea of Gelfond

The main rôle in the proof is played by the following exponential sum, which can be rewriten in the following way.

$$\sum_{\substack{n \le N \\ n \in \mathcal{S}}} e\left(h(n)\right) = \frac{1}{m_1 \cdots m_r} \sum_{r_i=0}^{m_1-1} \cdots \sum_{r_i=0}^{m_1-1} e\left(-\sum_{i=1}^r \frac{r_i j_i}{m_i}\right)$$
$$\times \sum_{n \le N} e\left(h(n) + \sum_{i=1}^r \frac{r_i}{m_i} f_i(n)\right)$$

where $e(x) := \exp(2\pi i x)$.



Higher correlation

In order to estimate the exponential sum one has to apply the method of Weyl differences and thus consider correlations of the form

$$\sum_{h_1 \leq H_1} \cdots \sum_{h_k \leq H_k} \left| \sum_{n \leq N} e\left(\frac{r_i}{m_i} \Delta_k(s_{q_i}(n); h_1, \ldots, h_k) \right) \right|^2.$$

The main problem here is the carry propagation within the higher correlation sums.



Waring's Problem with digital restrictions

Theorem Thuswaldner, Tichy (2005) The equation

$$m = x_1^k + \cdots + x_s^k, \quad s_q(x_1) \equiv j_1(m_r), \ldots, s_q(x_s) \equiv j_s(m_s),$$

has always a solution for sufficiently large n provided that s is large in terms of k.

Theorem Wagner (2007) The same holds for the equation

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Overview

	Z	$\mathbb{F}_q[X]$	$\mathbb{F}_q[X,Y]$
<i>q</i> -additive functions	Kim		
Uniform Distribution			
Waring's Problem	Thuswaldner Tichy Wagner		



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Summary



Definitions of the "integers" and the "reals"

- Finite field: \mathbb{F}_q with $q = p^n$ elements.
- ▶ Valuation at infinity: For $A = P/Q \in \mathbb{F}_q(X)$

$$u_{\infty}(A) := \deg Q - \deg P, \quad |A|_{\infty} = q^{-\nu_{\infty}(A)}.$$

- Completition of 𝔽_q: 𝔽_q((X⁻¹)) the set of formal Laurent series.
- ▶ Elements: For $\alpha \in \mathbb{F}_q((X^{-1}))$ we get that

$$\alpha = \sum_{k=\nu_{\infty}(\alpha)}^{\infty} a_k X^{-k} \quad (a_k \in \mathbb{F}_q).$$



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Haar measure and character E

• Haar measure: For all $\beta \in \mathbb{F}_q((X^{-1}))$

$$\int_{\nu_{\infty}(\alpha-\beta)<-n} 1 \cdot \mathrm{d}\alpha = q^{-n}.$$

• Character: $\operatorname{Res}(\alpha)$ is the coefficient of X^{-1} of α .

$$E(\alpha) := \exp\left(2\pi i \operatorname{tr}(\operatorname{Res} \alpha)/p\right),$$

where tr : $\mathbb{F}_q \to \mathbb{F}_p$ is the usual trace of an element of \mathbb{F}_q in $\mathbb{F}_p.$



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Q-ary digital expansion

▶ *Q*-ary digital expansion: Fix $Q \in \mathbb{F}_q[X]$, then for $A \in \mathbb{F}_q[X]$

$$A = \sum_{i \ge 0} D_i Q^i$$
 (deg $D_i < \deg Q$).

▶ Strongly *Q*-additive: A function $f : \mathbb{F}_q[X] \to \mathbb{F}_q[X]$ is called strongly *Q*-additive if

$$f(A) := \sum_{i\geq 0} f(D_i).$$



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The general setting

Fix Q_i -additive functions f_i $(1 \le i \le r)$ and consider the set

$$\mathcal{S} := \{A \in \mathbb{F}_q[X] : f_1(A) \equiv J_1(M_1), \ldots, f_r(A) \equiv J_r(M_r)\}.$$

By (S_ℓ)_{ℓ≥0} we denote a sequence through all elements of S such that m ≤ n ⇒ deg S_m ≤ deg S_n.



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The distribution into the different residue classes

As in the case of number systems over \mathbb{Z} we could consider the distribution into residue classes. Therefore let H denote the subgroup generated by the set S, *i.e.*,

$$H:=\{(f_1(A)\equiv J_1(M_1),\ldots,f_r(A)\equiv J_r(M_r)):A\in \mathbb{F}_q[X]\}.$$

Then M and Thuswaldner (2008) could show by the methods of Drmota and Gutenbrunner (2005) that

$$\frac{1}{N} \# \left\{ Z_{\ell} \in \mathcal{S} : 0 \le \ell < N \right\} = \frac{1}{\#H} + \mathcal{O}\left(N^{-\delta}\right)$$

with $\delta > 0$.



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with $\delta > 0$.



The exponential sum

In this number field the exponential sum looks similar to that in $\ensuremath{\mathbb Z}.$ Thus we have to consider

$$\sum_{\ell=0}^{N-1} E\left(h(Z_{\ell}) + \sum_{i=1}^{r} \frac{R_{i}}{M_{i}} f_{i}(Z_{\ell})\right)$$

where $(Z_{\ell})_{\ell \geq 0}$ is a sequence of all elements of $\mathbb{F}_q[X]$ such that

$$m \leq n \Rightarrow \deg Z_m \leq \deg Z_n$$

for all $m, n \in \mathbb{N}$.



Higher correlation

In order to estimate the exponential sum on the slide before we have again to consider higher correlation of the following form

$$\sum_{\deg H_1 < h_1} \cdots \sum_{\deg H_k < h_k} \left| \sum_{\ell=0}^{N-1} E\left(\sum_{i=1}^r \frac{R_i}{M_i} \Delta_k(f_i(Z_\ell); H_1, \ldots, H_k) \right) \right|.$$

Here we do not have to cope with carry propagation therefore we could get the effect of cancelation.



Uniform distribution

Theorem M,Thuswaldner (2008) Let h be a polynomial of degree $0 < k < p = \text{char } \mathbb{F}_q$. Then

the sequence $h(S_i)$ is uniformly distributed in $\mathbb{F}_q((X^{-1}))$ if and only if at least one coefficient of h(Y) - h(0) is irrational.



Corresponding problem of Waring

Theorem M (200?) For $N \in \mathbb{F}_{q}[X]$ the equation

$$N = P_1^k + \dots + P_s^k, \quad \left(P_i \in \mathcal{S}, \deg P_i < \left\lceil \frac{\deg N}{k} \right\rceil\right)$$

always has a solution provided N has sufficiently large degree and $s > k2^k$.



Overview

	Z	$\mathbb{F}_q[X]$	$\mathbb{F}_q[X,Y]$
q-additive	Kim	Drmota	
functions	rxiiii	Gutenbrunner	
Uniform		М	
Distribution	Thuswaldner		
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Number systems over function fields

Number systems in these fields have been investigated by Scheicher and Thuswaldner. In a more recent paper these considerations were extended to arbitrary fields by Beck, Brunotte, Scheicher and Thuswaldner. We get the following characterization.

Theorem Scheicher, Thuswaldner If p(X, Y) is monic in X and Y then every $A \in \mathbb{F}_q[X, Y]$ has an unique and finite expansion by

$$A = \sum_{i \ge 0} D_i Y^i$$
 $(D_i \in \mathbb{F}_q[X], \deg D_i < \deg_X p).$



Y-additive functions

Strongly Q-additive: A function $f : \mathbb{F}_q[X, Y] \to \mathbb{F}_q[X, Y]$ is called strongly Y-additive if it acts on the D_i only. *E.g.* the sum of digits function, which is defined by

$$s_Y(A) := \sum_{i\geq 0} D_i.$$



The general setting

▶ We fix only one *Y*-additive *f* and consider the set

$$\mathcal{S} := \{A \in \mathbb{F}_q[X, Y] : f(A) \equiv J(M)\}$$



The function field

- In order to apply Hardy and Littlewood's circle method we need to consider extensions of the valuation ν defined above to ω for the function field.
- We can represent Y as a Laurent-series with rational exponents and thus deduce the value of Y according to the valuation ω.
- Finally we can look at the function field as an algebraic curve and apply Riemann-Roch. Thus we restrict ourselves to sufficiently large spaces according to the valuation.



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The exponential sum

The exponential sum in this area looks like the following

$$\sum_{A\in\mathbb{B}(n)}E\left(h(A)+\frac{R}{M}f(A)\right),$$

where \mathbb{B} is the set of integers in $\mathbb{F}_q(X, Y)/p(X, Y)\mathbb{F}_q(X, Y)$ over $\mathbb{F}_q[X]$.



Waring's Problem

Theorem M,Thuswaldner (200?) If $s > k2^k$ then there always exists a solution for

$$N = P_1^k + \cdots + P_s^k \quad (P_i \in (\mathbb{B}(m) \cap S))$$

provided that N is sufficiently large, where $\mathbb{B}(m)$ denotes the set of all integers with valuation ω less than m.



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functions	NIII	Gutenbrunner	
Uniform		М	(M)
Distribution		Thuswaldner	(101)
Waring's	Thuswaldner	M	М
Problem	Tichy	IVI	Thuswaldner



Extensions

 For Goldbach's Problem one has to consider sums of the form

$$\sum_{p\leq P} e\left(h(p) + \sum_{i=1}^{r} \frac{r_i}{m_i} f_i(p)\right)$$

where the sum is extended over the primes.

A possible extension of these considerations of exponential sums could be to estimate the following

$$\sum_{n\leq N} e\left(\theta n^k + \alpha s_q(n)\right)$$

for $\theta, \alpha \in [0, 1)$.



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