Alternative number representations for robust analog-to-digital conversion

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Joint work with:

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Analog-to-digital (A/D)conversion

Inherently analog signals: Speech, high quality audio, images, video, etc.

Objective: Represent an "analog signal" (takes its values in a continuous set) by finitely many bits=: 'quantization'

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Objective: Represent an "analog signal" (takes its values in a continuous set) by finitely many bits=: 'quantization'

How is this done - a natural approach

Let $x \in [0, 1]$, and $x_N := N$ -bit truncation of the standard binary (base-2) representation of x,

$$x_N = \sum_{n=1}^N b_n 2^{-n}, \ b_n \in \{0,1\}.$$

Then:

- 1. $|x x_N| \le 2^{-N}$
- 2. $(b_1, b_2, ..., b_N)$ provide an *N*-bit quantization of *x* with the *accuracy* of 2^{-N} (essentially optimal in rate-distortion sense).

Example ctd.

Next: can we compute the bits b_n on an analog circuit? Successive approximation

Let $x_0 := 0$ and define $u_n := 2^n(x - x_n)$ for $n \ge 0$. Then

$$u_n = 2u_{n-1} - b_n, \quad n = 1, 2, \dots,$$

$$b_n = \lfloor 2u_{n-1} \rfloor = \begin{cases} 1, & u_{n-1} \ge 1/2, \\ 0, & u_{n-1} < 1/2. \end{cases}$$

Remarks

- 1. Note that $u_n = T(u_{n-1})$ where T is the doubling map.
- The values of u_n and b_n above are macroscopic and bounded. So the successive approximation algorithm as above can be implemented on an analog circuit.
- 3. Given the optimality of the accuracy for a given bit budget, are we done?

Example ctd.

When designing an A/D converter (ADC), accuracy is not the only concern! In fact, truncated base-2 representations (:= "pulse code modulation" or PCM) are far from being the most popular choice of A/D conversion method.

Why not?

In practice, analog circuits are never precise:

- arithmetic errors, e.g., through nonlinearity,
- quantizer errors, e.g., threshold offset,
- thermal noise...

Therefore:

- All relations hold approximately, and all quantities are approximately equal to their theoretical values;
- in particular, in the case of the above described algorithm, only for a finite number of iterations, given that dynamics of an expanding map has "sensitive dependence on initial conditions".

More resilient algorithms to compute base-2 representations?

Question. Are there better, i.e., more resilient, algorithms than "successive approximation" for evaluating $b_n(x)$ for each x?

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More resilient algorithms to compute base-2 representations?

Question. Are there better, i.e., more resilient, algorithms than "successive approximation" for evaluating $b_n(x)$ for each x?

Answer. The bits in the base-2 representations are essentially uniquely determined. Therefore, there is no way to recover from an erroneous bit computation:

- ▶ a 1 assignment for b_n when x < x_{n-1} + 2⁻ⁿ means an "overshoot" from which there is no way to "back up" later,
- ▶ a 0 assignment for b_n when x > x_{n-1} + 2⁻ⁿ implies a "fall-behind" from which there is no way to "catch up" later.

Example ctd. - conclusion

- 1. Any ADC based on base-2 expansions is bound to be not robust.
- 2. The fundamental problem with base-2 expansions is the lack of redundancy in these representations.
- 3. As this is a central problem in A/D conversion (as well as in D/A conversion), many alternative bit representations of numbers, as well as of signals, have been adopted or devised by circuit engineers, e.g., beta-representations and $\Sigma\Delta$ modulation.

4. Both "beta-encoding" and " $\Sigma\Delta$ modulation" produce redundant representations of $x \in [0, 1]$.

Rest of the talk

- introduce basic notation and terminology
- focus on a class of converters called Algorithmic Converters, and establish mathematical framework (including a formal definition of robustness)
- discuss accuracy characteristics of certain widely used algorithmic converters: PCM (truncated binary expansion), sigma-delta schemes (truncated Sturmian words), beta encoders (truncated beta representations)
- identify problems with these classes robustness vs. accuracy

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- identify problems with these classes robustness vs. accuracy
- introduce a novel algorithmic converter, the Golden Ratio Encoder, with superior characteristics – proof of stability, approximation rate, robustness...

Basic definitions – encoder and decoder maps

Let X be a compact normed space (the space of analog objects). E_N is an *N*-bit encoder if

$$E_N: X \mapsto \{0,1\}^N.$$

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A progressive family of encoders $(E_N)_1^\infty$ is generated by a single map $\psi: X \mapsto \{0, 1\}^\mathbb{N}$ such that

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A map D_N : Range $(E_N) \mapsto X$ is a decoder for E_N . In general, $x \in X$ cannot be perfectly recovered from $E_N(x)$. That is, quantization is inherently lossy.

Basic definitions - distortion and accuracy

For a given decoder D_N for the encoder E_N , the distortion can be measured by

$$\delta_X(E_N,D_N) = \sup_{x\in X} \|x - D_N(E_N(x))\|.$$

We define the accuracy of E_N as

$$\alpha(E_N) = \inf_{D_N} \delta_X(E_N, D_N).$$

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Above the choice of norm depends on the setting.

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Above the choice of norm depends on the setting.

Remark.

When designing a progressive encoder family, one of the objectives:

$$lpha({\sf E}_{\sf N})
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 as ${\sf N}
ightarrow \infty$

as quickly as possible, e.g., exponential in N.

Algorithmic converters



 $u_n \in \mathcal{U}$: state (continuous) of the circuit at time $n \in X$: the object to be quantized

$$Q: \mathcal{U} imes X \mapsto \{0,1\} \qquad F: \mathcal{U} imes X \mapsto \mathcal{U}$$

The pair (Q, F) define a progressive family of encoders as follows:

$$b_n = Q(u_{n-1}, x)$$
 $u_n = F(u_{n-1}, x).$

The encoder E_N associated with (Q, F) is defined by

$$E_N(x) := (b_1, \ldots, b_N).$$

Algorithmic converters ctd.

Definition. Let $\psi^{Q,F}$ be the generator of the progressive family of encoders as defined above, i.e., for $x \in X$,

$$\psi^{Q,F}(x) := (b_1, b_2, \dots).$$

We say (Q, F) defines an algorithmic A/D converter if the map $\psi^{Q,F}$ is invertible on X.

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Remark. A large fraction of the ADCs used in practice, e.g., PCM (base-2), $\Sigma\Delta$ modulators, beta-encoders, are algorithmic converters. We will come back to this.

Recall: Accuracy is not the only concern when evaluating the performance of an A/D converter!

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Recall: Accuracy is not the only concern when evaluating the performance of an A/D converter!

What else?

An ADC must be implemented, at least partly, on analog circuitry. Analog circuits are never precise.

In a typical implementation, the algorithmic converter functions are inaccurate:

$$(Q,F) \longleftrightarrow (\widetilde{Q},\widetilde{F})$$

It is vital that the accuracy of the underlying algorithmic encoder is not drastically effected when such a change takes place.

Quantify: Functions Q and F typically are compositions of elementary maps:

Addition: $u \mapsto u + a$, $a \in \mathbb{R}$, $(u, v) \mapsto u + v$.

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• Multiplication: $u \mapsto bu$, $b \in \mathbb{R}$

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- Addition: $u \mapsto u + a$, $a \in \mathbb{R}$, $(u, v) \mapsto u + v$.
- Multiplication: $u \mapsto bu$, $b \in \mathbb{R}$

► Decision element:
$$u \mapsto q_{\tau}(u) = \begin{cases} 0, & \text{if } u < \tau, \\ 1, & \text{if } u \geq \tau. \end{cases}$$

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Above, a, b, τ are parameters whose values are likely to vary within some tolerance.

Definition. Suppose $Q = Q_{\lambda}$, $F = F_{\lambda}$ where $\lambda \in \mathbb{R}^d$: parameters. Let E_N^{λ} be the associated algorithmic encoder. We say that E_N^{λ} is robust with respect to λ , if $\exists \epsilon > 0$ such that

$$\delta_X(E_N^{\gamma}, D_N^{\lambda}) o 0$$
 as $N o \infty$ whenever $\|\gamma - \lambda\| < \epsilon$.

Examples

I. PCM (truncated binary) is an algorithmic converter. Set $Q(u, x) = q_1(2u)$ and $F(u, x) = 2u - q_1(2u)$. Encoder: (Successive approximation) For $x \in [0, 1]$, initial state $u_0 = x$

$$b_n = q_1(2u_{n-1})$$
 $u_n = 2u_{n-1} - b_n$, $n = 1, 2, ...$

 $E_N(x) = (b_1, \ldots, b_N) \rightarrow N$ -bit trunc. binary exp. of x.

Generator: $\psi^{Q,F}(x) =$ bits in the binary expansion of x.

Decoder:
$$D_N(x) = 2^{-N-1} + \sum_{n=1}^N b_n 2^{-n}$$
.

Accuracy: $\alpha(E_N) = O(2^{-N})$ (optimal).

Let's investigate PCM in terms of its robustness properties.

Recall:
$$Q(u, x) = q_1(2x)$$
 and $F(u, x) = 2u - q_1(2x)$.

Important parameters: multiplication by 2 and threshold value (= 1) of q_1 .

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PCM is not robust. To achieve the theoretical accuracy, one needs to implement a precise multiplier and a decision element with a precise "toggle point".

Next, we review a family of ADCs which is popular in practice. Let $x \in [0, 1]$. Define

 $T_x: u \mapsto \langle u + x \rangle.$

Let $u_0 = \varphi \in [0,1)$ be arbitrary, and set

$$\left\{\begin{array}{rcl} u_n &=& T_x^n(\varphi), & n=1,2,\ldots\\ \\ b_n &=& \left\{ \begin{matrix} 0 & \text{if } u_{n-1} \in [0,1-x), \\ 1 & \text{if } u_{n-1} \in [1-x,1), \end{matrix} \right\} \quad \text{1st-order } \Sigma\Delta \end{array}\right.$$

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Remarks.

- 1. For irrational x, the above recursion produces Sturmian words. A first-order $\Sigma\Delta$ modulator encodes x by the associated N-bit truncated Sturmian word.
- 2. $\Sigma\Delta$ has been used for A/D conversion since 1960s.

Remarks ctd.

- 3. Encoding. Let $E_N : x \mapsto (b_n)_1^N$
- 4. Decoding. Set $h_n = 1/N$, n = 1, ..., N, and define

$$D_N:(b_n)_1^N\mapsto \sum_{n=1}^N h_n b_n.$$

Then $|x - D_N(E_N(x))| \le 1/N$.

5. One can improve this error bound by using a different reconstruction kernel \tilde{h} . In particular, Güntürk proved that

$$|x-\sum_{n=1}^{N}\tilde{h}_{n}b_{n}|\leq C_{x}N^{-2}\log^{2+\epsilon}N.$$

Proof uses machinery from discrepancy theory.

Remarks ctd.

- 6. One can also obtain a lower bound for the approximation error:
 - 6.1 Consider the 1st-order $\Sigma\Delta$ scheme with $u_0 = 1/2$, let $b_{x,1/2}$ be the corresponding Sturmian word. Then for

$$A_{1/2}(N) := \{(b_{x,1/2})_1^N : x \in (0,1)\}, \ \#A_{1/2} = \frac{3}{\pi^2}N^2 + O(N \log N).$$

6.2 In fact, $(b_{x,1/2})_1^N = (b_{y,1/2})_1^N$ if x, y are between two consec. N-Farey points (Güntürk-Lagarias-Vaishampayan, cf. Mignosi). Then

$$C/N \leq \sup_{x \in (0,1)} |x - D_N^{opt}(b_{x,1/2})_1^N|$$

Digression – first-order $\Sigma\Delta$ modulation Remarks ctd.

One can use 1st-order ΣΔ to quantize "varying input", e.g., samples of functions whose Fourier transform is compactly supported in [-1/2, 1/2]. Let x_n = f(n/λ) where λ > 1 is the oversampling factor. With u₀ = φ ∈ [0, 1), let, for n = 1, 2, ...,

$$u_n = T_{x_n}(u_{n-1}), \quad b_n = q_1(u_{n-1} + x_n),$$

One can also run this recursion backwards. Set $E(f) = (b_n)_{-\infty}^{\infty}$, and use the decoder D_{ϕ}

$$D_{\phi}: (b_n)_{-\infty}^{\infty} \mapsto (1/\lambda) \sum b_n \phi(\cdot - n/\lambda).$$

Here ϕ is an appropriate sampling kernel. Then we have (Daubechies-DeVore)

$$\|f - D_{\phi}(E(f))\|_{\infty} \leq rac{1}{\lambda} \operatorname{Var}(\phi).$$
Digression – higher-order $\Sigma\Delta$ modulation

Rewrite the iteration for the 1st-order $\Sigma\Delta$:

$$u_n = u_{n-1} + x - \lfloor u_{n-1} + x \rfloor \rightsquigarrow (\Delta u)_n = x - q_1(u_{n-1} + x)$$

Generalize to kth-order: $u_{-k+1} = \cdots = u_0 = 0$, and

$$\left\{\begin{array}{ll} (\Delta^{k}u)_{n} &= x - b_{n}^{k} \\ b_{n}^{k} &= q_{1}(\rho(x, u_{n-1}, ..., u_{n-k+1})) \end{array}\right\} k \text{th-order } \Sigma\Delta$$

Remarks

1. With an appropriate choice of $(h_n)_1^N$, one can show

$$|x-\sum_{n=1}^N h_n b_n^k| \le C N^{-k}$$

if u_n remain bounded (unif. in N) (i.e., the scheme is stable).

Digression – higher-order $\Sigma\Delta$ modulation

Remarks ctd.

- 2. ρ is chosen to ensure stability (non-trivial). First infinite family of stable $\Sigma\Delta$ schemes of arbitrary order (not implementable in practice) was constructed by Daubechies and DeVore (\sim 2000). For 2nd-order schemes, a wide family of rules ensure stability (OY-2002).
- 3. We can rewrite the recursion as

$$\mathbf{u}_n = \mathbf{L}_k \mathbf{u}_{n-1} + (x - q_1(\rho(\mathbf{u}, x)))\mathbf{1}$$

- 4. Error estimates can be improved (the piecewise affine system has tiling invariant sets)...
- 5. Question. Can we again count the number of possible *N*-words obtained via a *k*th-order $\Sigma\Delta$ scheme? A possible generalization of Sturmian shifts? ...

Back to examples of algorithmic converters Recall



 $u_n \in \mathcal{U}$: state (continuous) of the circuit at time $n \in X$: the object to be quantized

$$Q: \mathcal{U} \times X \mapsto \{0,1\}$$
 $F: \mathcal{U} \times X \mapsto \mathcal{U}$

The pair (Q, F) define a progressive family of encoders as follows:

$$b_n = Q(u_{n-1}, x)$$
 $u_n = F(u_{n-1}, x).$

The encoder E_N associated with (Q, F) is defined by

Back to examples of algorithmic converters

II. First-order $\Sigma\Delta$ schemes are algorithmic converters. Set $Q(u,x) = q_1(u+x)$ and $F(u,x) = u + x - q_1(u+x)$.

Encoder: For $x \in [0, 1]$ and initial state $u_0 \in [0, 1)$ arbitrary,

$$b_n = q_1(u_{n-1} + x), \quad u_n = u_{n-1} + x - b_n, \quad n = 1, 2, \dots$$

 $E_N(x) = (b_1, \dots, b_N) o N$ -bit $\Sigma\Delta$ encoding of x

Generator: $\psi^{Q,F}(x) = (b_1, b_2, ...).$

Decoder:
$$D_N(x) = \frac{1}{N} \sum_{n=1}^N b_n$$
.

Accuracy: $\alpha(E_N) = O(1/N)$.

Examples – first-order $\Sigma\Delta$ ctd.

Robustness

Let's investigate 1st-order $\Sigma\Delta$ in terms of its robustness properties. Recall: $Q(u,x) = q_1(u+x)$ and $F(u,x) = u + x - q_1(u+x)$.

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Important parameters:

- Threshold value (= 1) of q_1 .
- No multiplier needed!

Examples – first-order $\Sigma\Delta$ ctd.

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Imperfect implementation:

• use
$$q_{\tau}$$
 with $|\tau - 1| \leq \epsilon \Rightarrow \delta_X(\widetilde{E}_N, D_N) = O(1/N)$.

Examples – first-order $\Sigma\Delta$ ctd.

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First-order $\Sigma\Delta$ is robust! Main reason $\Sigma\Delta$ is popular...

Note. The accuracy of an *N*-bit 1st-order $\Sigma\Delta$ encoder is of O(1/N), much worse than $O(2^{-N})$, accuracy of PCM.

Examples – kth-order $\Sigma\Delta$

III. kth-order $\Sigma\Delta$ schemes are algorithmic converters.

State space $\mathcal{U} \subset \mathbb{R}^k$. Set $Q(\mathbf{u}, x) = q_1(\rho(\mathbf{u}, x))$ and $F(\mathbf{u}, x) = \mathbf{L}_k \mathbf{u} + x - q_1(\rho(\mathbf{u}, x))$.

 $\rho: \mathcal{U} \times X \mapsto \mathbb{R}$ is called "quantization rule". (stability!)

 L_k is the $k \times k$ lower triangular matrix of 1s.

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 $\rho: \mathcal{U} \times X \mapsto \mathbb{R}$ is called "quantization rule". (stability!) L_k is the $k \times k$ lower triangular matrix of 1s.

Encoder: For $x \in [0, a]$, a < 1, initial state $\mathbf{u}_0 \in B \subset \mathbb{R}^k$ arbitrary,

$$b_n = Q(\mathbf{u}_n, x)$$
 $\mathbf{u}_{n+1} = F(\mathbf{u}_n, x), n = 1, 2, \dots$

 $E_N(x) = (b_1, \dots, b_N) \rightarrow N$ -bit $\Sigma \Delta$ encoding (order k) of x

Decoder: $D_N(x) = \sum_{n=1}^{N} h_n b_n$; h_n : approp. sampling kernel

Accuracy: $\alpha(E_N) = O(1/N^k)$.

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Examples – kth-order $\Sigma\Delta$ ctd.

Robustness

Again, what about robustness of a *k*th-order $\Sigma\Delta$ -scheme?

Important parameters:

- Threshold value (= 1) of q_1 , and
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Examples – kth-order $\Sigma\Delta$ ctd.

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kth-order $\Sigma\Delta$ with a wide family of quantization rules is robust! [Daubechies-DeVore, OY]

Note. The accuracy of an *N*-bit *k*th-order $\Sigma\Delta$ encoder is of $O(N^{-k})$, still much worse than $O(2^{-N})$, accuracy of PCM.

Examples – beta encoders

IV. Beta encoders (Daubechies et al.) are algorithmic converters.

Let $1 < \beta < 2$, and compute truncated cautious (not greedy, not lazy) beta representations of $x \in [0, 1)$.

Set $Q(u,x) = q_1(\beta u - \mu)$ and $F(u,x) = \beta u - q_1(\beta u - \mu)$.



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Set $Q(u,x) = q_1(\beta u - \mu)$ and $F(u,x) = \beta u - q_1(\beta u - \mu)$.

Encoder: For $x \in [0, 1)$ and initial state $u_0 = x$,

$$b_n = q_1(\beta u_{n-1} - \mu), \quad u_n = \beta u_{n-1} - b_n, \quad n = 1, 2, \dots$$

 $E_N(x) = (b_1, \ldots, b_N) \rightarrow$ an N-bit trunc. β -rep. of x.

Decoder: $D_N(x) = \sum_{n=1}^N b_n \beta^{-n}$.

Accuracy: $\alpha(E_N) = O(\beta^{-N}).$

Robustness

Recall: $Q(u,x) = q_{1+\mu}(\beta u)$ and $F(u,x) = \beta u - q_{1+\mu}(\beta u + \mu)$.

Important parameters: Threshold value (= $1 + \mu$) of q and multiplication by β

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Imperfect implementation:

• use
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• multiply with $\beta + \epsilon$ at each multiplier $\Rightarrow C\epsilon \leq \delta_X(E_N, D_N)$.

Robustness

Recall: $Q(u,x) = q_{1+\mu}(\beta u)$ and $F(u,x) = \beta u - q_{1+\mu}(\beta u + \mu)$.

Important parameters: Threshold value (= $1 + \mu$) of q and multiplication by β

Imperfect implementation:

- use q_{τ} with $|\tau (1 + \mu)| \leq \epsilon \Rightarrow \delta_X(\widetilde{E}_N, D_N) = O(\beta^{-N}).$
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- The assumed value of β is different from the actual implemented value. Partial solution in [Daubechies-OY], still not satisfactory.

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Beta encoders are robust wrt quantizer threshold value. They are not robust wrt multiplication by β .

Encoders that enjoy superior accuracy properties (PCM, Beta) suffer from robustness issues.

Encoders that enjoy superior robustness properties ($\Sigma\Delta$) have inferior accuracy characteristics.

Next, we present a scheme with the best of both worlds!

The Golden Ratio Encoder (GRE)

Main idea. The above (classical) implementation of beta-encoders:

$$u_{n+1} = \beta u_n - b_n; \quad b_n = Q(u_n); \quad u_1 = x.$$

The characteristic polynomial: $p(y) = y - \beta$; choice of b_n ensures $|u_n|$ remain bounded –in this case, the scheme is stable.

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The characteristic polynomial: $p(y) = y - \beta$; choice of b_n ensures $|u_n|$ remain bounded –in this case, the scheme is stable.

Question. Is it possible to use more suitable difference equations and still obtain a β representation of $x \in [0, 1)$?

We want the characteristic polynomial to have integer coefficients (coefficients ± 1 are preferred), have one of its roots at $\beta \in (1, 2)$, and that $\exists (b_n)$ to keep the resulting system stable.

GRE

Consider

$$u_{n+2} = u_{n+1} + u_n - b_n;$$
 $u_0 = x, u_1 = 0.$

The characteristic equation is $p(y) = y^2 - y - 1$ whose roots are

$$\phi = rac{1+\sqrt{5}}{2}$$
 (the golden ratio), and $-rac{1}{\phi}.$

Using $\phi^2 = \phi + 1$, we obtain

$$D_N(b) = \sum_{n=0}^{N-1} b_n \phi^{-n} = x - \phi^{-N} (u_N + \phi u_{N+1}).$$

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Proposition. If there is rule for choosing b_n such that $|u_n| \leq C$, then the above iteration produces a beta encoding of x with $\beta = \phi$ (hence the name). That is, for the corresp. encoder E_N ,

$$|x - D_N(E_N(x))| = O(\phi^{-N}).$$

Next, we establish such rules...

Simplest stable GRE. Set

$$b_n = q_1(u_{n+1} + u_n) = \begin{cases} 0, & \text{if } u_{n+1} + u_n < 1, \\ 1, & \text{if } u_{n+1} + u_n \ge 1. \end{cases}$$

Proposition. For $x \in [0, 1)$, if we run

$$u_{n+2} = u_{n+1} + u_n - b_n; \quad b_n = q_1(u_{n+1} + u_n); \quad u_0 = x; \quad u_1 = 0,$$

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Remarks.

1. The corresponding GRE is stable, thus its accuracy is $O(\phi^{-N})$.

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2. Not even one multiplication!

Simplest stable GRE. Set

$$b_n = q_1(u_{n+1} + u_n) = \begin{cases} 0, & \text{if } u_{n+1} + u_n < 1, \\ 1, & \text{if } u_{n+1} + u_n \ge 1. \end{cases}$$

Proposition. For $x \in [0, 1)$, if we run

$$u_{n+2} = u_{n+1} + u_n - b_n; \quad b_n = q_1(u_{n+1} + u_n); \quad u_0 = x; \quad u_1 = 0,$$

we have $0 \le u_n \le 1$ for every *n*.

Remarks.

- 1. The corresponding GRE is stable, thus its accuracy is $O(\phi^{-N})$.
- 2. Not even one multiplication!
- 3. Unfortunately, not robust wrt quantizer threshold: Replace q_1 with $q_{1+\epsilon} \Rightarrow$ unstable scheme! Need to do more work...

Numerical Experiment



Stable GREs with better robustness properties.

Describe the GRE iteration with a 2d-map. Define

$${\mathcal T}_Q: egin{bmatrix} u \ v \end{bmatrix} \mapsto egin{bmatrix} 0 & 1 \ 1 & 1 \end{bmatrix} egin{bmatrix} u \ v \end{bmatrix} - Q(u,v) egin{bmatrix} 0 \ 1 \end{bmatrix}.$$

Then, we can rewrite the recursion as

$$\begin{bmatrix} u_{n+1} \\ u_{n+2} \end{bmatrix} = T_Q \begin{bmatrix} u_n \\ u_{n+1} \end{bmatrix}$$

Note: We now observe that GRE is an algorithmic converter.

Above, we used $Q(u, v) = q_1(u + v)$. We will now construct alternative Q for which the scheme is stable and robust.

Stable GREs with better robustness properties (ctd.)

Use $Q(u, v) = q_{\tau}(u + \gamma v) =: Q_{\tau}^{\gamma}(u, v)$ with $\gamma \neq 1$ and approp. τ .

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Note. If implemented with Q_{τ}^{γ} , the parameters of concern regarding robustness are γ and τ .

Stable GREs with better robustness properties (ctd.)

Use $Q(u, v) = q_{\tau}(u + \gamma v) =: Q_{\tau}^{\gamma}(u, v)$ with $\gamma \neq 1$ and approp. τ .

Note. If implemented with Q_{τ}^{γ} , the parameters of concern regarding robustness are γ and τ .

Main Theorem. For every $1 < \gamma < 3$, there exists $\nu_1 < \nu_2$, and $\eta > 0$ such that GRE implemented with $Q_{\tau}^{\gamma'}$ is stable provided $|\gamma' - \gamma| < \eta$ and $\nu_1 < \tau < \nu_2$.

Corollary. The GRE implemented with Q_{τ}^{γ} is robust wrt γ and τ . In particular,

$$\delta_X(GRE_N^{\gamma',\tau},D_N)=O(\phi^{-N})$$

whenever $|\gamma' - \gamma| < \eta$ and $\nu_1 < \tau < \nu_2$.

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Sketch of the proof. See picture.



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Numerical Experiment

$$\nu_1 = 1.2, \nu_2 = 1.3, \gamma = 1.55.$$



Highlights

1. GRE is an algorithmic A/D converter. Its implementation does not require any "precise multiplication" or "precise decision element".

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2. GRE enjoys exponential accuracy.

Highlights

- 1. GRE is an algorithmic A/D converter. Its implementation does not require any "precise multiplication" or "precise decision element".
- 2. GRE enjoys exponential accuracy.
- 3. GRE is a "Nyquist-rate A/D converter", i.e., it quantizes each sample value independently (no memory). This makes GRE a good candidate for A/D conversion in settings where classical sampling theory does not apply, e.g., compressed sensing.

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- GRE was implemented using the Fibonacci recursion. One can generalize and construct higher order "polynacci encoders" with p(y) = y^k y^{k-1} ··· 1 whose largest root β_k ∈ (1, 2), all other roots inside the unit circle (thus like φ, β_k is a Pisot number). Moreover β_k → 2.
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- 5. Other technical issues, e.g., bias removal, requantization can be resolved.
- 6. Finally, implementation...

Does it work on analog hardware?

We implemented the GRE on a breadboard...



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+V to pin 14, -V to pin 7

Hardware implementation

We plot u_{n+1} vs. u_n , computed theoretically (left) and measured from the circuit (right).





Performance of the circuit



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Performance of the circuit

The RMSE error vs. number of GRE bits (J).



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Performance of the circuit

AC data.



16-GRE-bit reconstruction of a sinusoid $x(t) = (A - B)\sin(2\pi ft) + (A + B)/2$ with f = 20.2Hz, $A \approx 1.57$, and $B \approx 0.35$. The sampling rate was approx. 53.33 Hz.